

04-19-06

DAC

PTO/SB/81 (10-05)

Approved for use through 07/31/2008. OMB 0651-0031  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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# **PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNAVOIDABLY UNDER 37 CFR 1.137(a)**

Docket Number (Optional)

First Named Inventor: Babak Ernest Arfaa

Art Unit:

Application Number: 09/846,206Examiner: Patent DivisionFiled: 05/02/2001

(703) 308-1202

Title: Systems, Devices, Programs, and Procedures... Methods to Use,  
Methods of doing Business, and Business ModelsAttention: Office of Petitions  
Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450NOTE: If information or assistance is needed in completing this form, please contact  
Petitions Information at (571) 272-3282.

The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus any extensions of time actually obtained.

## **APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION.**

NOTE: A grantable petition requires the following items:

- (1) Petition fee.
- (2) Reply and/or issue fee.
- (3) Terminal disclaimer with disclaimer fee required for all utility and plant applications filed before June 8, 1995, and for all design applications; and
- (4) Adequate showing of the cause of unavoidable delay.

### 1. Petition fee

☒ Small entity - fee \$ 250. (37 CFR 1.17(l)). Applicant claims small entity status.  
See 37 CFR 1.27.

☐ Other than small entity - fee \$ \_\_\_\_\_ (37 CFR 1.17(l)).

### 2. Reply and/or fee

A The reply and/or fee to the above-noted Office action in the form of  
Petition to Revive: abandonment due to unavoidable delay (identify the type of reply):

☐ has been filed previously on \_\_\_\_\_

☒ is enclosed herewith.

B The issue fee of \$ \_\_\_\_\_

☐ has been filed previously on \_\_\_\_\_

☒ is enclosed herewith.

[Page 1 of 3]

This collection of information is required by 37 CFR 1.137(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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04/20/2006 TBESHAH1 00000011 09846206

02 FC:2452

250.00 OP

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PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED  
UNAVOIDABLY UNDER 37 CFR 1.137(a)

## 3. Terminal disclaimer with disclaimer fee

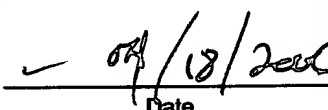
- ☒ Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.
- ☐ A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ \_\_\_\_\_ for a small entity or \$ \_\_\_\_\_ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63).

## 4. An adequate showing of the cause of the delay, and that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition under 37 CFR 1.137(a) was unavoidable, is enclosed.

## WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

  
\_\_\_\_\_  
Signature

  
\_\_\_\_\_  
Date

Babak Ernest Arfaa  
\_\_\_\_\_  
Typed or printed name

2310 Cullum Road  
\_\_\_\_\_  
Address

Bel Air, Maryland 21015  
\_\_\_\_\_  
Address

Registration Number, if applicable  
214-789-5991  
\_\_\_\_\_  
Telephone Number

410-879-5528  
\_\_\_\_\_

Enclosure ☒ Fee Payment☒ Reply☐ Terminal Disclaimer Form☒ Additional sheets containing statements establishing unavoidable delay☒ Claim Description & Abstract

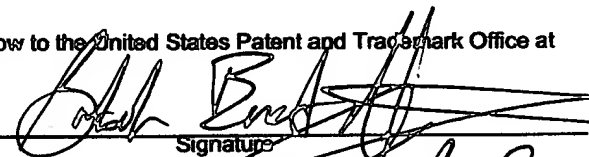
## CERTIFICATE OF MAILING OR TRANSMISSION (37 CFR 1.8(a))

I hereby certify that this correspondence is being:

☒ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300.

04/18/2006  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Signature

Babak Ernest Arfaa  
\_\_\_\_\_  
Typed or printed name of person signing certificate

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**PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED  
UNAVOIDABLY UNDER 37 CFR 1.137(a)**

NOTE: The following showing of the cause of unavoidable delay must be signed by all applicants or by any other party who is presenting statements concerning the cause of delay.

✓   
Signature

✓ 04/18/2006  
3/1/06  
Date

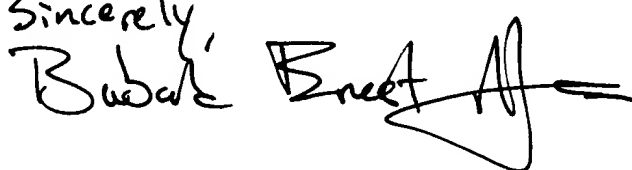
Babak Ernest Arfaa  
Typed or printed name

Registration Number, if applicable

(In the space provided below, please explain in detail the reasons for the delay in filing a proper reply.)

\* Dear USPTO,

I never received notice of my application being delayed... not by mail, nor by phone. I had been in close contact with the USPTO, and the Art Unit responsible for my patent by phone until, and through 2002, but never even received notification from them of the hold-up... This is very curious to me, as my application was exactly referred to in each of our conversations about what I could expect next / what the hold-up was. Please reinstate my application. Thanks very much.

Sincerely,  


(Please attach additional sheets if additional space is needed.)

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TITLE OF INVENTION

(Prior application # 09/846,206)

**Systems, Devices, Programs, and Procedures... Methods to use,  
Methods of doing business, and Business Models**

Be it known that I, Babak Ernest Arfaa, a citizen of the United States, residing at 2310 Cullum Road, Bel Air, Maryland 21015, have invented certain new and useful improvements in Systems, Devices, Programs, and Procedures for contacting motorists and motor vehicles via license plates and/ or license plate information, as well as new and useful improvements in Business models pertaining to Internet and Wireless communications for the public and private sector, Methods of using them, and Methods of doing business with them... of which the following is a specification:

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CROSS-REFERENCE TO RELATED APPLICATIONS

(Prior Application # 09/846,206 )

OFFICE OF PETITIONS

Applicant claims the benefit of provisional patent application Serial no. 60 / 201,316, filed on May 02, 2000.

BACKGROUND OF INVENTION

The present invention essentially relates to Internet, telephone, wireless, and other communications that evolve out of making motor vehicles' license plate digits a means of contacting or engaging in communication with specific motor vehicles, motor vehicle owners, and/or motorists.

There is no prior art that makes contacting, nor communicating with, specific motor vehicles, motor vehicle owners, and/or motorists via license plate digits.

BRIEF SUMMARY OF THE INVENTION

The invention essentially connects motor vehicles, their owners, and/or their drivers to individuals, institutions, or other things that want to contact them by way of the motor vehicle's license plate digits. This is done with Internet, telephone, wireless, and other systems.

The invention is useful because motorists can connect with each other specifically, as now they cannot.

The invention is useful because motor vehicle-related institutions (Departments of Motor Vehicles, Motor Vehicle Insurers, Manufacturers, etc...) can now correspond with motor vehicles, their owners and/or drivers

DETAILED EXPLANATION OF THE INVENTION

(Prior Application # 09/846,206)

The invention essentially connects motor vehicles, their owners, and/or their drivers (simply...motor vehicles and motorists, from here on) to individuals, institutions, or other things that want to contact them by way of the motor vehicle's license plate digits. The invention makes such connectivity possible through an "electronic mail" ("e-mail") repository whereby motor vehicles can be assigned e-mail address accounts according to their license plates. The invention makes such connectivity possible through Uniform Resource Locator addresses located on the internet (URL's) that can be assigned to motor vehicles according to their license plates. The invention makes such connectivity possible through an Internet "instant messenger" ("IM") whereby a motor vehicle can be contacted according to the username it is assigned according to its license plate. The invention makes such connectivity possible through telephone systems that make motor vehicles contactable by a means of dialing up the license plate. The invention makes such connectivity possible by other systems and devices that enable instant communication between a motor vehicle and other things around it. The invention makes such connectivity possible through address-algorithms for each of the said methods of enabling motor vehicle connectivity.

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CLAIMS

(Prior Application # 09/846,206)

- 1) Systems that make motor vehicles, motor vehicle owners, and/or motorists contactable by individuals, institutions, or other things essentially by way of the motor vehicle's license plate digits.
- 2) Algorithms for addressing motor vehicles by their license plate that are used in the systems of claim 1.
- 3) An Internet Instant Messenger that allows its user to have more than one username open to send and receive messages at any one time.
- 4) A method of doing business as the provider of services that use systems of claim 1.
- 5) Methods of doing business using services from the provider of services of claim 4.

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ABSTRACT

(Prior Application # 09/846,206)

The invention discloses systems for sending electronic messages (data, voice, picture, or other) to specific motorists or motor vehicles via their license plate information. Internet and wireless e-mail and instant messaging systems provide much of the core competency of the system; proximity messaging systems between motorists/ motor vehicles that are near each other are also disclosed; as well, examples of private and public sector business models and methods of using such systems are disclosed.





TITLE OF INVENTION

(Prior Application # 09/846,206)

**Systems, Devices, Programs, and Procedures... Methods to use,  
Methods of doing business, and Business Models**

Be it known that I, Babak Ernest Arfaa, a citizen of the United States, residing at 2310 Cullum Road, Bel Air, Maryland 21015, have invented certain new and useful improvements in Systems, Devices, Programs, and Procedures for contacting motorists and motor vehicles via license plates and/ or license plate information, as well as new and useful improvements in Business models pertaining to Internet and Wireless communications for the public and private sector, Methods of using them, and Methods of doing business with them... of which the following is a specification:



## **CROSS-REFERENCE TO RELATED APPLICATIONS**

*(Prior Application # 09/846,206)*

**Applicant claims the benefit of provisional patent application Serial no. 60 / 201,316, filed on May 02, 2000.**

## **BACKGROUND OF INVENTION**

**The present invention essentially relates to Internet, telephone, wireless, and other communications that evolve out of making motor vehicles' license plate digits a means of contacting or engaging in communication with specific motor vehicles, motor vehicle owners, and/or motorists.**

**There is no prior art that makes contacting, nor communicating with, specific motor vehicles, motor vehicle owners, and/or motorists via license plate digits.**

## **BRIEF SUMMARY OF THE INVENTION**

**The invention essentially connects motor vehicles, their owners, and/or their drivers to individuals, institutions, or other things that want to contact them by way of the motor vehicle's license plate digits. This is done with Internet, telephone, wireless, and other systems.**

**The invention is useful because motorists can connect with each other specifically, as now they cannot.**

**The invention is useful because motor vehicle-related institutions (Departments of Motor Vehicles, Motor Vehicle Insurers, Manufacturers, etc...) can now correspond with motor vehicles, their owners and/or drivers**



## DETAILED EXPLANATION OF THE INVENTION

(Prior Application #09/846,206)

The invention essentially connects motor vehicles, their owners, and/or their drivers (simply...motor vehicles and motorists, from here on) to individuals, institutions, or other things that want to contact them by way of the motor vehicle's license plate digits. The invention makes such connectivity possible through an "electronic mail" ("e-mail") repository whereby motor vehicles can be assigned e-mail address accounts according to their license plates. The invention makes such connectivity possible through Uniform Resource Locator addresses located on the internet (URL's) that can be assigned to motor vehicles according to their license plates. The invention makes such connectivity possible through an Internet "instant messenger" ("IM") whereby a motor vehicle can be contacted according to the username it is assigned according to its license plate. The invention makes such connectivity possible through telephone systems that make motor vehicles contactable by a means of dialing up the license plate. The invention makes such connectivity possible by other systems and devices that enable instant communication between a motor vehicle and other things around it. The invention makes such connectivity possible through address-algorithms for each of the said methods of enabling motor vehicle connectivity.

CLAIMS

(Prior Application #09/846,206)

- 1) Systems that make motor vehicles, motor vehicle owners, and/or motorists contactable by individuals, institutions, or other things essentially by way of the motor vehicle's license plate digits.
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- 4) A method of doing business as the provider of services that use systems of claim 1.
- 5) Methods of doing business using services from the provider of services of claim 4.

ABSTRACT  
(Prior Application # 09/846,206)

The invention discloses systems for sending electronic messages (data, voice, picture, or other) to specific motorists or motor vehicles via their license plate information. Internet and wireless e-mail and instant messaging systems provide much of the core competency of the system; proximity messaging systems between motorists/ motor vehicles that are near each other are also disclosed; as well, examples of private and public sector business models and methods of using such systems are disclosed.



Dear USPTO,

Enclosed please find in response to your notice to me dated February 22, 2006, my petition for revival of a patent application unintentionally abandoned under 37 CFR 1.137(b) and my petition for revival of a patent application unavoidably abandoned under 37 CFR 1.137(a). Additionally enclosed find, as requested: (1) Claims Description on its own separate sheet of paper, and (2) Abstract of the Invention on its own separate sheet of paper.

Please timely file the above referenced petitions and papers. I look forward to your response as soon as possible.

Very Respectfully,  
Babak Ernest Arfaa  
2310 Cullum Rd.  
Bel Air, MD 21015  
(410) 879-5528  
(214) 789- 5991

re: prior application "09/ 846,206"

Enclosures:

Fees: \$750.  
\$250.

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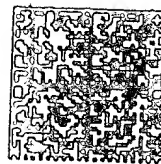
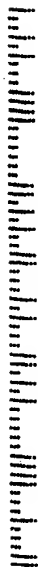


Organization \_\_\_\_\_ Bldg./Room \_\_\_\_\_  
UNITED STATES PATENT AND TRADEMARK OFFICE  
P.O. Box 1450  
Alexandria, Va. 22313-1450  
If Undeliverable Return In Ten Days  
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Penalty For Private Use, \$300

AN EQUAL OPPORTUNITY EMPLOYER

Babak Arfaa  
2310 Cullum Rd.  
Bel Air MD 21015

21016+6533-10 R002



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0004204479 FEB 22 2006  
MAILED FROM ZIP CODE 22314

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UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/846,206	05/02/2001	Babak E. Arfaa	

Babak Arfaa  
2310 Cullum Rd.  
Bel Air, MD 21015

CONFIRMATION NO. 7261

**ABANDONMENT/TERMINATION  
LETTER**



\*OC000000011850073\*

Date Mailed: 02/06/2004

**NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)**

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 06/27/2001.

- No reply was received.

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of **UNAVOIDABLE DELAY** must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(l); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of **UNINTENTIONAL DELAY** must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Any questions concerning petitions to revive should be directed to the "Office of Petitions" at (703) 305-9282. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

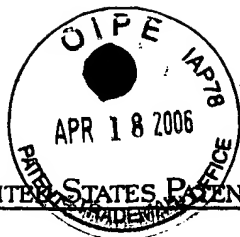
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/846,206	05/02/2001	Babak E. Arfaa	

CONFIRMATION NO. 7261

## FORMALITIES LETTER



\*OC000000006231419\*

Babak Arfaa  
2310 Cullum Rd.  
Bel Air, MD 21015

Date Mailed: 06/27/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).
- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

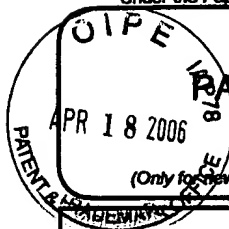
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

First Inventor

Title

Express Mail Label No.

 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages \_\_\_\_\_]  
Both the claims and abstract must start on a new page  
(For information on the preferred arrangement, see MPEP 608.01(a))
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets \_\_\_\_\_]
5. Oath or Declaration [Total Sheets \_\_\_\_\_]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ A copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
name in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)  
☐ Landscape Table on CD
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, items a. - c. are required)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

ADDRESS TO:

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))  
Name of Assignee \_\_\_\_\_
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (PTO/SB/08 or PTO-1449)  
☐ Copies of citations attached
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or equivalent.
17. ☒ Other: Correction under 37CFR1.75(h) & 37CFR 1.72(b)  
and Revival: Unavoidable & Unintentional Delays

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation☐ Divisional☐ Continuation-in-part (CIP)

of prior application No.: 09/846,206

Prior application information:

Examiner Patent Div.: 703-308-1202

Art Unit: \_\_\_\_\_

## 19. CORRESPONDENCE ADDRESS

☐ The address associated with Customer Number: \_\_\_\_\_OR ☒ Correspondence address below

Name	Babak Ernest Arfaa				
Address	2310 Cullum road				
City	Bel Air	State	Maryland	Zip Code	21015
Country	Harford	Telephone		Email	bearfaa@smu.edu
Signature				Date	03/ 106 04/18/2006
Name (Print/Type)	Babak Ernest Arfaa			Registration No. (Attorney/Agent)	

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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